

APPLICATION

REQUIRED DOCUMENTATION

- BCU Mortgage Services Application

- BCU Origination Services Agreement (Included)

- FYE Audited Financial Statement and most recent month-end interim financial statement (FDIC/NCUA call reports acceptable. Annual review requires updated financials)

- Organizational Chart (Senior Management)

- Evidence of your Fidelity Bond and Errors and Omissions Insurance (Declarations Page)

- Limited Power of Attorney (Included)

- Mortgage Loan Fraud Policy Statement (included)

- IRS Form W9

APPLICATION

COMPANY INFORMATION

Name: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Company Phone: _____ Federal Tax ID: _____

Company Fax: _____

Website: _____ Incorporation Date: _____

Company NMLS: _____ Primary Contact: _____

Parent Company Name: _____ Contact Phone: _____

Contact Email: _____

STATE/AGENCY LICENSING

Please indicate if licensed or approved by any of the following, and **submit a copy of Agency Approval Letters**.

- | | |
|---|---|
| <input type="checkbox"/> FHA/HUD #: _____ | <input type="checkbox"/> Fannie Mae #: _____ |
| <input type="checkbox"/> VA #: _____ | <input type="checkbox"/> Freddie Mac #: _____ |
| <input type="checkbox"/> USDA #: _____ | <input type="checkbox"/> GNMA #: _____ |

APPLICATION

INSURANCE

TYPE	INSURANCE COMPANY	AGGREGATE AMOUNT	EXPIRATION DATE
Error & Omission	_____	_____	_____
Fidelity Bond	_____	_____	_____

CURRENT INVESTOR RELATIONSHIPS

Investor Name: _____ **Monthly Volume (\$):** _____

Relationship Since: _____ **Contact Name:** _____

Contact Phone: _____ **Contact Email:** _____

Investor Name: _____ **Monthly Volume (\$):** _____

Relationship Since: _____ **Contact Name:** _____

Contact Phone: _____ **Contact Email:** _____

AFFILIATED COMPANIES

Affiliated Companies include any separate business entity that is fully or partially owned by either the Company or the Company's Principal(s). Please provide the following information for all that apply (list if additional).

1) **Affiliated Company Name:** _____

Affiliated Company Address: _____

Brief Description of Business Activities: _____

Ownership Breakdown (include name and % ownership): _____

% Correspondents Production that runs through affiliate: _____

% Affiliate's business driven by Correspondent: _____

Does Originator share employees with affiliate? Yes No

Does Originator share office space with affiliate? Yes No

Does any employee of Correspondent have signing authority at the affiliated company? Yes No

If yes, Name: _____ *Title:* _____

Name: _____ *Title:* _____

Is the affiliate a title company? Yes No

If yes, Title Insurer: _____

Main Contact Name: _____ *Phone:* _____

Main Contact Email: _____

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AFFILIATED COMPANIES (cont.)

Affiliated Companies include any separate business entity that is fully or partially owned by either the Company or the Company's Principal(s). Please provide the following information for all that apply (list if additional).

2) Affiliated Company Name: _____

Affiliated Company Address: _____

Brief Description of Business Activities: _____

Ownership Breakdown (include name and % ownership): _____

% Correspondents Production that runs through affiliate: _____

% Affiliate's business driven by Correspondent: _____

Does Correspondent share employees with affiliate? Yes No

Does Correspondent share office space with affiliate? Yes No

Does any employee of Correspondent have signing authority at the affiliated company? Yes No

If yes, Name: _____ Title: _____

Name: _____ Title: _____

Is the affiliate a title company? Yes No

If yes, Title Insurer: _____

Main Contact Name: _____ Phone: _____

Main Contact Email: _____

DISCLOSURES

1. Does your company comply with the capital and license requirements of each state and federal regulatory agency with jurisdiction over your lending practices? Yes No

2. Has your Company, and/or principals or corporate officers, partners, directors, managers, supervisors, loan processors, loan underwriters or loan originators had a real estate or other professional license suspended or revoked, or received any other disciplinary action from a regulatory agency? Yes No

If yes, please explain: _____

3. Has your Company been suspended from selling or servicing mortgages by an Investor? Yes No

If yes, please explain: _____

APPLICATION

DISCLOSURES (cont.)

4. Are you aware of Company officers and/or principals, corporate officers, partners, directors, managers, supervisors, loan processors, loan underwriters or loan originators or employees that are or have been on any agency, state or federal exclusionary list? Yes No

If yes, please explain: _____

5. Has your Company, and/or principals or corporate officers, been named as defendant in a lawsuit for alleged fraud or misrepresentation in connection with any real estate related activity? Yes No

If yes, please provide the following details surrounding any settled, open or pending litigation:

Litigation Explanation: _____

Plaintiff Name: _____

Date Opened: _____ Date Resolved: _____

Potential Correspondent Exposure Amount: \$ _____

Company Action Plan to Resolve: _____

6. Has your Company developed a compliance program in accordance with FinCEN's Anti-Money Laundering rules issued pursuant to the Bank Secrecy Act? Yes No

7. Has your Company developed a compliance program in accordance with the US Patriot Act? Yes No

8. Is your Company in compliance with the CFPB's loan originator compensation rules? Yes No

9. Is your Company and/or any principals, corporate officers, partners, director, managers, supervisors, loan processors, loan underwriters, or loan originators in compliance of the provisions of the SAFE Mortgage Licensing Act regulations? Yes No

10. Has your Company taken steps to meet CFPB Title XIV Mortgage Rules (provisions under Dodd Frank Wall Street Reform and Consumer Protection Act) effective January, 2014? Yes No

11. Has your Company and/or principals or corporate officers been involved in any legal action that could affect the company's capacity to perform under BCU Origination Services Agreement? Yes No

APPLICATION

CONTACT INFORMATION

Loan Officers:

Name: _____ NMLS: _____

Phone: _____ Email: _____

Name: _____ NMLS: _____

Phone: _____ Email: _____

Name: _____ NMLS: _____

Phone: _____ Email: _____

Loan Assistants:

Name: _____ NMLS: _____

Phone: _____ Email: _____

Name: _____ NMLS: _____

Phone: _____ Email: _____

Name: _____ NMLS: _____

Phone: _____ Email: _____

Additional Access Requests:

Name: _____ NMLS: _____

Phone: _____ Email: _____

Name: _____ NMLS: _____

Phone: _____ Email: _____

Name: _____ NMLS: _____

Phone: _____ Email: _____